

VIA HAND DELIVERY
PATENT
70404.13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re application of: Naoki MAKITA Serial No.: Currently unknown Filing Date: Concurrently herewith For: SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME</p>	
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INFORMATION DISCLOSURE STATEMENT

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits copies of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449), including an English abstract. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,



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Substitute for form 1449/PTO

*Complete if Known***INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1**

Application Number	
Filing Date	
First Named Inventor	Naoki MAKITA
Art Unit	
Examiner Name	
Attorney Docket Number	70404.13

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	5,879,974	03/1999	Yamazaki	
	2	5,915,174	06/1999	Yamazaki et al.	
	3	6,251,712	06/2001	Tanaka et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number-Kind Code ⁵ (if known)				
	4	JP 10-270363	10/1998	JAPAN		X

Examiner
SignatureDate
Considered

*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.